



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/014,916	10/22/2001	Jeff R. McKenzie	26.2.B98/USA	9972
75	590 01/21/2004		EXAM	INER
James W. Miller			GANEY, STEVEN J	
Foshay Tower				
Suite 1005			ART UNIT	PAPER NUMBER
821 Marquette Avenue			3752	
Minneapolis, MN 55402			DATE MAILED: 01/21/2004	4 <b>7</b>

Please find below and/or attached an Office communication concerning this application or proceeding.

A		$\mathcal{M}$				
	Application No.	Applicant(s)				
Office Action Comments	10/014,916	MCKENZIE ET AL.				
Office Action Summary	Examiner	Art Unit				
	Steven J. Ganey	3752				
Th MAILING DATE of this communication app Period for Reply	pears on the cover sheet with the c	correspondence address				
A SHORTENED STATUTORY PERIOD FOR REPL THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.1 after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a repl - If NO period for reply is specified above, the maximum statutory period of Failure to reply within the set or extended period for reply will, by statute - Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).  Status	36(a). In no event, however, may a reply be tir y within the statutory minimum of thirty (30) day will apply and will expire SIX (6) MONTHS from , cause the application to become ABANDONE	nely filed s will be considered timely. the mailing date of this communication. D (35 U.S.C. § 133).				
1) Responsive to communication(s) filed on 20 O	<u>ctober 2003</u> .					
2a) ☐ This action is <b>FINAL</b> . 2b) ☒ This	action is non-final.					
3) Since this application is in condition for alloware closed in accordance with the practice under E						
Disposition of Claims						
4) Claim(s) 1-101 is/are pending in the applicatio	n.					
4a) Of the above claim(s) is/are withdrawn from consideration.						
5) Claim(s) <u>5-26 and 28-83</u> is/are allowed.						
6)⊠ Claim(s) <u>1,2,27,84-94 and 98-101</u> is/are rejected.						
7) $\boxtimes$ Claim(s) 3.4 and 95-97 is/are objected to.						
8) Claim(s) are subject to restriction and/o	r election requirement.					
Application Papers						
9)☐ The specification is objected to by the Examine	er.					
10) The drawing(s) filed on is/are: a) acc	epted or b) ☐ objected to by the	Examiner.				
Applicant may not request that any objection to the		·				
Replacement drawing sheet(s) including the correct	,	• • • • • • • • • • • • • • • • • • • •				
11)☐ The oath or declaration is objected to by the Ex	caminer. Note the attached Office	Action or form PTO-152.				
Priority under 35 U.S.C. §§ 119 and 120						
12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of:  1. Certified copies of the priority document 2. Certified copies of the priority document 3. Copies of the certified copies of the priority application from the International Bureau * See the attached detailed Office action for a list	s have been received. s have been received in Applicat rity documents have been receive u (PCT Rule 17.2(a)).	ion No ed in this National Stage				
<ul> <li>13) Acknowledgment is made of a claim for domestic since a specific reference was included in the first 37 CFR 1.78.</li> <li>a) ☐ The translation of the foreign language pro</li> </ul>	ic priority under 35 U.S.C. § 119( st sentence of the specification of	e) (to a provisional application) r in an Application Data Sheet.				
14) Acknowledgment is made of a claim for domesti reference was included in the first sentence of the	c priority under 35 U.S.C. §§ 120	and/or 121 since a specific				
Attachment(s)						
1) X Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449) Paper No(s)	5) 🔲 Notice of Informal F	(PTO-413) Paper No(s) Patent Application (PTO-152)				

Application/Control Number: 10/014,916

Art Unit: 3752

#### **DETAILED ACTION**

#### Election/Restrictions

1. Applicant's election with traverse of Species I, claims 1-101 in Paper No. 6 is acknowledged. The traversal is on the grounds that the claims are directed to different features of the elected species. This is found persuasive and the requirement for an election of species has been withdrawn.

### Claim Rejections - 35 USC § 112

- 2. The following is a quotation of the second paragraph of 35 U.S.C. 112:
  - The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
- 3. Claim 27 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

In claim 27, line 11, the claims is indefinite since there is no limit defined with the phrase "and so on".

## Claim Rejections - 35 USC § 102

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Application/Control Number: 10/014,916 Page 3

Art Unit: 3752

5. Claims 84-87 are rejected under 35 U.S.C. 102(b) as being clearly anticipated by Skidgel.

Skidgel shows a rotary sprinkler comprising all the feature elements of the instant invention.

- 6. Claims 84-87 are rejected under 35 U.S.C. 102(b) as being clearly anticipated by Hunter.

  Hunter shows a rotary sprinkler comprising all the feature elements of the instant invention.
- 7. Claims 92-94 and 98-101 are rejected under 35 U.S.C. 102(b) as being clearly anticipated by King.

Kings shows an attachment for a sprinkler comprising all the feature elements of the instant invention.

With respect to applicant's statements of intended use, i.e. for a rotary sprinkler, the device of King is capable of performing applicant's intended use, therefore, the claims are fully anticipated.

As to claims 99-101, note releasable latch with a plurality of resilient latching fingers 24 and 26 engaged beneath the cap of the sprinkler.

8. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- 9. Claims 1 and 2 are rejected under 35 U.S.C. 102(e) as being clearly anticipated by Grundy et al

Art Unit: 3752

The applied reference has a common assignee with the instant application. Based upon the earlier effective U.S. filing date of the reference, it constitutes prior art under 35 U.S.C. 102(e). This rejection under 35 U.S.C. 102(e) might be overcome either by a showing under 37 CFR 1.132 that any invention disclosed but not claimed in the reference was derived from the inventor of this application and is thus not the invention "by another," or by an appropriate showing under 37 CFR 1.131.

Grundy et al discloses and shows an arc indicator as claimed, see Figure 2.

# Claim Rejections - 35 USC § 103

- 10. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 11. Claims 88-91 are rejected under 35 U.S.C. 103(a) as being obvious over Grundy et al.

The applied reference has a common assignee with the instant application. Based upon the earlier effective U.S. filing date of the reference, it constitutes prior art only under 35 U.S.C. 102(e). This rejection under 35 U.S.C. 103(a) might be overcome by: (1) a showing under 37 CFR 1.132 that any invention disclosed but not claimed in the reference was derived from the inventor of this application and is thus not an invention "by another"; (2) a showing of a date of invention for the claimed subject matter of the application which corresponds to subject matter disclosed but not claimed in the reference, prior to the effective U.S. filing date of the reference under 37 CFR 1.131; or (3) an oath or declaration under 37 CFR 1.130 stating that the

Art Unit: 3752

application and reference are currently owned by the same party and that the inventor named in the application is the prior inventor under 35 U.S.C. 104, together with a terminal disclaimer in accordance with 37 CFR 1.321(c). For applications filed on or after November 29, 1999, this rejection might also be overcome by showing that the subject matter of the reference and the claimed invention were, at the time the invention was made, owned by the same person or subject to an obligation of assignment to the same person. See MPEP § 706.02(1)(1) and § 706.02(l)(2).

Grundy et al discloses and shows a rotary sprinkler as claimed, except for how the indicia are formed on the top cover. It would have been obvious to one having ordinary skill in the art at the time the invention was made to form the indicia by laser etching or another any other method as a matter of obvious design choice depending on the material of the cover. Also, claim 88 is considered a product-by-process claim and that the claimed product appears to be the same or similar to that of the apparatus of Grundy et al, although the indicia may be produced by a different process.

## Allowable Subject Matter

- 12. Claims 5-26 and 28-83 are allowed.
- Claims 3, 4 and 95-97 are objected to as being dependent upon a rejected base claim, but 13. would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.
- Claim 27 would be allowable if rewritten or amended to overcome the rejection(s) under 14. 35 U.S.C. 112, second paragraph, set forth in this Office action.

Application/Control Number: 10/014,916

sprinklers with flow shut off valves having vanes.

Art Unit: 3752

Conclusion

15. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Bruniga, Kah, Jr., Kah, III et al, and Han show rotary sprinklers with arc indicating means. Machut, Geisendorfer, Thorrez, Maguire and Zimmerman show attachment means attachments means for sprinklers to receive a post or stake. Verbera et al and Hayes show rotary sprinklers with slip/friction clutch means. Clark, Lawson and Kearby et al shows rotary

16. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Steven J. Ganey whose telephone number is (703) 308-2585. The examiner can normally be reached on Monday, Tuesday, Thursday and Friday from 8:00 AM to 5:30 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Michael Mar, can be reached on (703) 308-2087. The fax phone number for this Group is (703) 872-9306.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group receptionist whose telephone number is (703) 308-1113.

STEVEN J. GANEY PRIMARY EXAMINER Page 6

1/16/04

sjg

1/16/04